

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	6375957
<b>Application Number:</b>	09465607
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	9080
<b>Title of Invention:</b>	COMPUTER-IMPLEMENTED SECURITIES TRADING SYSTEM WITH A VIRTUAL SPECIALIST FUNCTION
<b>First Named Inventor/Applicant Name:</b>	TIMOTHY M. KEISER
<b>Customer Number:</b>	63710
<b>Filer:</b>	Glen Farbanish/LAURA ALTO
<b>Filer Authorized By:</b>	Glen Farbanish
<b>Attorney Docket Number:</b>	98-HSX001-C1
<b>Receipt Date:</b>	02-NOV-2009
<b>Filing Date:</b>	17-DEC-1999
<b>Time Stamp:</b>	16:44:26
<b>Application Type:</b>	Utility under 35 USC 111(a)

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Payment Type	Deposit Account
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	98-HSX001-C1_091102_IDS.pdf	43862	no	5
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**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**